

# Course "Polynomials: Their Power and How to Use Them", JASS'07

## Basics about Polynomials

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- ▶ Multiplication distributes over addition:

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We say that  $(R, +, \cdot)$  is a ring with **unity**, if  $R$  contains an multiplicative identity, denoted by 1. For **commutative** rings, multiplication has to be commutative, too.



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## Example 2

The residue classes  $\mathbb{Z}/8\mathbb{Z}$  with the usual addition and multiplication form a ring. The equivalence classes of odd numbers are units, the equivalence classes  $[2]$ ,  $[4]$  and  $[6]$  are zerodivisors.



### Definition 3

A nontrivial ring (a ring that contains more than one element), with unity and without zero divisors is called **domain**. If multiplication is commutative, we call it **integral domain**.



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### Definition 5

A **field** is a commutative, nontrivial ring with unity, in which every nonzero element is a unit.

Wellknown examples for fields are the rationals  $\mathbb{Q}$ , the reals  $\mathbb{R}$  or the complex numbers  $\mathbb{C}$ .



## Definition 6

Let  $(R, +, \cdot)$  be a ring and  $S$  be the set of sequences

$$\{a_0, a_1, \dots\} \text{ with } a_i \in R \text{ for all } i \in \mathbb{N}_0$$

such that  $a_i = 0$  for all but a finite number of  $i \in \mathbb{N}_0$ .



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such that  $a_i = 0$  for all but a finite number of  $i \in \mathbb{N}_0$ .

If we define addition and multiplication on  $S$  by:

$$\{a_0, a_1, \dots\} + \{b_0, b_1, \dots\} := \{a_0 + b_0, a_1 + b_1, \dots\}$$

$$\{a_0, a_1, \dots\} \cdot \{b_0, b_1, \dots\} := \{a_0 \cdot b_0, a_1 \cdot b_0 + a_0 \cdot b_1, \dots\}$$

then  $(S, +, \cdot)$  is the ring  $R[X]$  of **univariate polynomials** over  $R$ .



## Definition 7

For a polynomial  $P = \{a_0, a_1, \dots\} \in R[X]$ , the **degree**  $\deg(P)$  is defined as the maximal number  $n$  so, that  $a_n \neq 0$ . In this case,  $lc(P) := a_n$  is called the **leading coefficient** of  $P$ .



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- ▶  $\deg(P + Q) \leq \max\{\deg(P), \deg(Q)\}$
- ▶  $\deg(P \cdot Q) \leq \deg(P) + \deg(Q)$
- ▶ if  $R$  contains no zerodivisors, its even  $\deg(P \cdot Q) = \deg(P) + \deg(Q)$ .



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Now we can write a polynomial of degree  $n$  like this:

$$\{a_0, a_1, \dots\} = \sum_{k=0}^n a_k X^k$$

For any polynomial  $P(X) = \sum_{k=0}^n a_k X^k$  in  $R[X]$  we can define a function

$$P : R \rightarrow R, \text{ with } P(z) := \sum_{k=0}^n a_k z^k$$

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### Example 8

For  $p$  prime,  $z^p - z = 0$  for all elements of  $\mathbb{Z}/p\mathbb{Z}$ , but  $X^p - X$  is obviously *not* the zero polynomial (the polynomial with zero coefficients).



The definition of **multivariate** polynomials follows from the univariate case:

### Definition 9

Let  $R$  be a ring. For  $m \in \mathbb{N}$  we define the ring of multivariate polynomials in  $m$  variables  $\{X_1, \dots, X_m\}$  over  $R$  by

$$R[X_1, \dots, X_m] = R[X_1, \dots, X_{m-1}][X_m]$$



To store and to represent a polynomial  $P(X)$  of degree  $n$ , we can use a **dense** representation like

$$P = \{X, n, a_n, \dots, a_1, a_0\},$$

where we mention *all* coefficients of  $P$ .

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However, for a polynomial with many zero coefficients it is enough to store the nonzero coefficients in a **sparse** representation:

$$P = \{X, a_s, m_s, \dots, a_2, m_2, a_1, m_1\},$$

where  $a_i$  are the nonzero coefficients and  $m_i$  are the exponents in decreasing order.



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Assume that operations in  $R$  can be done in time  $O(1)$ , and let  $P(X)$  and  $Q(X)$  two Polynomials, with  $\deg(P) = m$ ,  $\deg(Q) = n$ , and let  $s$  and  $t$  be the numbers of nonzero coefficients.

It is obvious that the calculation of  $P + Q$  is done in a time of  $O(\max\{m, n\})$  in dense representation, while sparse representation leads to a computing time of  $O(\max\{s, t\})$ .



## Theorem 10

*In dense representation, the calculation of  $P \cdot Q$  is done in  $O(mn)$ , while in sparse representation the calculation is done in  $O(st \cdot \log_2(t))$*



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### Proof.

(dense case)

$$P(X) \cdot Q(X) = \left( \sum_{j=0}^m a_j X^j \right) \cdot \left( \sum_{k=0}^n b_k X^k \right) = \sum_{l=0}^{m+n} c_l X^l$$

with  $c_l = \sum_{s=0}^l a_s b_{l-s}$ . Thus, we are doing  $(m+1) \cdot (n+1)$  multiplications and  $mn$  additions. □



The sparse algorithm is illustrated by an (not very sparse) example:

For  $s = 3$ ,  $t = 4$ , we want to multiply  $X^3 + 7X + 9$  and

$X^4 + X^2 + 3X + 2$  over the integers.

First, we calculate all  $s \cdot t$  monomials:



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$$\begin{array}{r}
 X^7 \quad 7X^5 \quad 9X^4 \\
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 2X^3 \quad 14X \quad 18
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Then we fuse, sort, and where possible, add, neighbouring rows:

$$\begin{array}{r} X^7 \quad 8X^5 \quad 9X^4 \quad 7X^3 \quad 9X^2 \\ 3X^4 \quad 2X^3 \quad 21X^2 \quad 41X \quad 18 \end{array}$$

Sorting again, we have:

$$X^7 \quad 8X^5 \quad 12X^4 \quad 9X^3 \quad 30X^2 \quad 41X \quad 18$$



## Theorem 11

Let  $R$  be an integral domain and  $P_1(X)$  and  $P_2(X)$  two polynomials over  $R$  with  $lc(P_2)$  a unit in  $R$ . Then there exist unique  $Q(X), R(X)$ , so that:

$$P_1(X) = Q(X) \cdot P_2(X) + R(X) \text{ and } \deg(R(X)) < \deg(P_2(X)).$$

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## Definition 12

In this situation, we call  $Q(X) =: \text{quo}(P_1(X), P_2(X))$  the **quotient** and  $R(X) =: \text{rem}(P_1(X), P_2(X))$  the **remainder** of  $P_1(X), P_2(X)$ .





Let  $P_1(X) = \sum_{j=0}^m a_j X^j$ ,  $P_2(X) = \sum_{k=0}^n b_k X^k$ ,  $m \geq n \geq 0$ , and  $b_n$  be a unit. The algorithm for polynomial division is:

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for  $i = m - n$  down to 0 do
   $q_i := a_{n+i} b_n^{-1}$ 
  for  $l = n + i - 1$  down to  $i$  do
     $a_l := a_l - q_i b_{l-i}$ 
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Then,  $Q(X) = \sum_{i=0}^{m-n} q_i X^i$ , and  $R(X) = \sum_{l=0}^{n-1} a_l X^l$ .

Computing time: Assuming that operations in  $R$  take  $O(1)$ , the whole algorithm is done in  $O(n(m - n + 1))$ .



Let  $R$  be an integral domain.

### Definition 13

For  $P(X) \in R[X]$ ,  $\alpha \in R$  is called a **root** of  $P(X)$ , if  $P(\alpha)=0$ .



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$\alpha \in R$  is a root with multiplicity  $m$ , if  $(X - \alpha)^m$  divides  $P(X)$ .



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### Theorem 16

If  $P(X) \neq 0$ ,  $P(X)$  can have at most  $\text{deg}(P(X))$  roots, counting multiplicities.



## Definition 17

Let  $K$  be a field, and  $M(X) \in K[X]$  with  $\deg(M(X)) > 0$ . Then we can define the equivalence relation  $\equiv_{M(X)}$  on  $K[X]$ :

$$P(X) \equiv_{M(X)} Q(X) \text{ if } \text{rem}(P(X), M(X)) = \text{rem}(Q(X), M(X)).$$



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The set of equivalence classes, denoted by  $K[X]_{M(X)}$ , together with the operations

$$[P(X)]_{M(X)} + [Q(X)]_{M(X)} := [P(X) + Q(X)]_{M(X)}$$

$$[P(X)]_{M(X)} \cdot [Q(X)]_{M(X)} := [P(X) \cdot Q(X)]_{M(X)}$$

is a commutative ring with unity.



## Definition 18

A polynomial  $P(X) \in R[X]$ ,  $R$  an integral domain, is called **irreducible**, if, whenever  $P(X) = P_1(X) \cdot P_2(X)$ ,  $P_1(X)$  or  $P_2(X)$  is a unit of  $R[X]$ .

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$2X^2 + 4$  is reducible both over  $\mathbb{Z}$  and  $\mathbb{C}$ , but not over  $\mathbb{R}$ .



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*For  $K$  a field and  $M(X) \in K[X]$  with  $\deg(M(X)) > 0$ ,  $K[X]_{M(X)}$  is a field if and only if  $M(X)$  is irreducible over  $K$ .*

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In this case,  $K[X]_{M(X)}$  contains a subfield isomorphic to  $K$  and is therefore a **field extension** of  $K$ .



## Example 21

Let  $K = \mathbb{R}$  and  $M(X) = X^2 + 1$ . Then all elements of  $\mathbb{R}[X]_{X^2+1}$  are of the form  $a \cdot [1] + b \cdot [X]$  with  $a, b \in \mathbb{R}$ . Addition and multiplication are given by:



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$$(a[1] + b[X]) + (c[1] + d[X]) = (a + c)[1] + (b + d)[X]$$

$$\begin{aligned} (a[1] + b[X]) \cdot (c[1] + d[X]) &= ac[1] + bd[X^2] + ad[X] + bc[X] \\ &= (ac - bd)[1] + (ad + bc)[X] \end{aligned}$$



## Example 21

Let  $K = \mathbb{R}$  and  $M(X) = X^2 + 1$ . Then all elements of  $\mathbb{R}[X]_{X^2+1}$  are of the form  $a \cdot [1] + b \cdot [X]$  with  $a, b \in \mathbb{R}$ . Addition and multiplication are given by:

$$(a[1] + b[X]) + (c[1] + d[X]) = (a + c)[1] + (b + d)[X]$$

$$\begin{aligned} (a[1] + b[X]) \cdot (c[1] + d[X]) &= ac[1] + bd[X^2] + ad[X] + bc[X] \\ &= (ac - bd)[1] + (ad + bc)[X] \end{aligned}$$

Therefore,  $\mathbb{R}[X]_{X^2+1}$  is isomorphic to  $\mathbb{C}$ .



## Definition 22

A field  $K$  is **algebraically closed**, if every nonconstant polynomial with coefficients in  $K$  has a root in  $K$ .

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For us, it is important to know the

## Theorem 24

(Fundamental Theorem of Algebra)  $\mathbb{C}$  is the algebraic closure of  $\mathbb{R}$ .

## Definition 25

For  $a, b \in R$ ,  $R$  an integral domain,  $d \in R$  is called a **greatest common divisor** of  $a$  and  $b$ ,  $d = \gcd(a, b)$ , if  $d$  divides  $a$  and  $b$ , and every  $t \in R$  dividing  $a$  and  $b$  divides  $d$ , too.

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If a  $\gcd(a, b)$  exists, it is unique up to units, and thus it makes sense to speak of *the*  $\gcd$  of  $a$  and  $b$ .

## Theorem 26

Let  $K$  be a field, and  $P_1(X), P_2(X) \neq 0$  polynomials from  $K[X]$ . Then there exists  $\gcd(P_1(X), P_2(X)) \in K[X]$ , and there are  $A(X), B(X) \in K[X]$ , with  $\deg(A(X)) < \deg(P_2(X))$  and  $\deg(B(X)) < \deg(P_1(X))$  with

$$\gcd(P_1(X), P_2(X)) = A(X) \cdot P_1(X) + B(X) \cdot P_2(X).$$



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$$\gcd(P_1(X), P_2(X)) = A(X) \cdot P_1(X) + B(X) \cdot P_2(X).$$

## Proof.

We construct both  $\gcd(P_1(X), P_2(X))$  and  $A(X), B(X)$  by the **extended Euclidean Algorithm** over a field. □



## Extended Euclidean Algorithm



## Extended Euclidean Algorithm

$$[A(X), B(X)] := [1, 0]$$

$$[a(X), b(X)] := [0, 1]$$

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$$[A(X), B(X)] := [1, 0]$$

$$[a(X), b(X)] := [0, 1]$$

while  $P_2(X) \neq 0$  do

$$[Q(X), R(X)] := [\text{quo}(P_1(X), P_2(X)), \text{rem}(P_1(X), P_2(X))]$$

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$$[P_1(X), P_2(X)] := [P_2(X), R(X)]$$

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$$[B(X), b(X)] := [b(X), B(X) - Q(X)b(X)]$$

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## Extended Euclidean Algorithm

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od

return  $[P_1(X), A(X), B(X)]$



Polynomial division in the Euclidean algorithm works, because  $lc(P_2(X))$  is a unit throughout the algorithm, because  $K$  is a field. From now on, we consider Polynomials over  $\mathbb{Z}$ , and the Euclidean algorithm will not work in general.

Polynomial division in the Euclidean algorithm works, because  $lc(P_2(X))$  is a unit throughout the algorithm, because  $K$  is a field. From now on, we consider Polynomials over  $\mathbb{Z}$ , and the Euclidean algorithm will not work in general.

Let  $P_1(X) = \sum_{i=0}^m a_i X^i$ ,  $P_2(X) = \sum_{j=0}^n b_j X^j \neq 0$ ,  $m \geq n$ . For a **pseudodivision** in  $\mathbb{Z}[X]$ , premultiply  $P_1(X)$  by  $b_n^{m-n+1}$ , and define **pseudoquotient** and **pseudoremainder** by

$$pquo(P_1(X), P_2(X)) = quo(b_n^{m-n+1} \cdot P_1(X), P_2(X))$$

$$prem(P_1(X), P_2(X)) = rem(b_n^{m-n+1} \cdot P_1(X), P_2(X)).$$





## Definition 27

For  $P(X) \in \mathbb{Z}[X]$ , define the **content**  $\text{cont}(P(X))$  as gcd of the coefficients of  $P(X)$ , and the **primitive part**  $\text{pp}(P(X)) = \frac{P(X)}{\text{cont}(P(X))}$ .



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## Theorem 28

$\mathbb{Z}[X]$  is a *unique factorization domain*, and therefore, a gcd exists for all pairs of nonzero Polynomials over  $\mathbb{Z}$ .

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## Theorem 28

$\mathbb{Z}[X]$  is a **unique factorization domain**, and therefore, a gcd exists for all pairs of nonzero Polynomials over  $\mathbb{Z}$ .

For  $P_1(X), P_2(X) \in \mathbb{Z}[X]$ , we have

$$\begin{aligned} \text{cont}(\text{gcd}(P_1(X), P_2(X))) &= \text{gcd}(\text{cont}(P_1(X)), \text{cont}(P_2(X))) \\ \text{pp}(\text{gcd}(P_1(X), P_2(X))) &= \text{gcd}(\text{pp}(P_1(X)), \text{pp}(P_2(X))). \end{aligned}$$



## Generalized Euclidean Algorithm

$$c := \gcd(\text{cont}(P_1(X)), \text{cont}(P_2(X)))$$

$$[P_1(X), P_2(X)] := [pp(P_1(X)), pp(P_2(X))]$$

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return  $c \cdot \text{pp}(P_1(X))$



## Example 29

$$P_1(X) = X^3 - 2X^2 + 3 + 1, P_2(X) = 2X^2 + 1$$



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$$2^2 \cdot (X^3 - 2X^2 + 3 + 1) = (2X - 4) \cdot (2X^2 + 1) + (10X + 8)$$





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$$228^2 \cdot (10X - 8) = (2280X - 1824) \cdot 228 + 0$$

$\gcd(\text{cont}(P_1(X)), \text{cont}(P_2(X))) = 1$ , and therefore,

$$\gcd(P_1(X), P_2(X)) = 1.$$

Premultiplication leads to an exponential growth of coefficients, the greatest number in our calculation was 519840.



One possibility to reduce the the coefficient growth, is to divide every pseudoremainder by its content.



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Before we go on with *gcd* computations, we ask what it means, if two Polynomials in  $\mathbb{Z}[X]$  have a common root in  $\mathbb{C}$ .

## Definition 30

For two Polynomials  $P_1(X) = \sum_{j=0}^m a_j X^j$ ,  $P_2(X) = \sum_{k=0}^n b_k X^k$  in  $\mathbb{Z}[X]$ , we define the **resultant**

$$\text{res}[P_1(X), P_2(X)] := a_m^n b_n^m \prod_{j=0}^m \prod_{k=0}^n (\alpha_j - \beta_k).$$

where  $\alpha_j$  are the roots of  $P_1$ ,  $\beta_k$  of  $P_2$ .

## Definition 30

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## Theorem 31

1.  $\text{res}[P_1(X), P_2(X)] = 0$  if  $P_1(X)$  and  $P_2(X)$  have a common root.

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## Theorem 31

- $\text{res}[P_1(X), P_2(X)] = 0$  if  $P_1(X)$  and  $P_2(X)$  have a common root.
- $\text{res}[P_1(X), P_2(X)] = (-1)^{mn} b_n^m \prod_{k=1}^n P_1(\beta_k) = a_m^n \prod_{j=1}^m P_2(\alpha_j)$

## Theorem 32

$$\text{res}(P_1(X), P_2(X)) = \det \begin{pmatrix} a_m & \cdots & \cdots & & a_0 & & \mathbf{0} \\ & \ddots & & & & \ddots & \\ \mathbf{0} & & a_m & & \cdots & \cdots & a_0 \\ b_n & \cdots & \cdots & b_0 & & & \\ & & \ddots & & & \ddots & \\ \mathbf{0} & & & & & & \mathbf{0} \\ & & & & b_n & \cdots & \cdots & b_n \end{pmatrix}$$

## Theorem 32

$$\text{res}(P_1(X), P_2(X)) = \det \begin{pmatrix} a_m & \cdots & \cdots & & a_0 & & \mathbf{0} \\ & \ddots & & & & \ddots & \\ \mathbf{0} & & a_m & & \cdots & \cdots & a_0 \\ b_n & \cdots & \cdots & b_0 & & & \\ & & \ddots & & & \ddots & \\ \mathbf{0} & & & & & & \mathbf{0} \\ & & & & b_n & \cdots & \cdots & b_n \end{pmatrix}$$

The Matrix is  $(m + n) \times (m + n)$  and contains  $n$  “ $a$ ” rows and  $m$  “ $b$ ” rows.

Proof.

Consider the polynomial

$$q(\lambda) := \det \begin{pmatrix} a_m & \cdots & \cdots & a_0 - \lambda & \mathbf{0} \\ & \ddots & & & \\ \mathbf{0} & & a_m & \cdots & \cdots & a_0 - \lambda \\ b_n & \cdots & \cdots & b_0 & & \\ & & \ddots & & & \\ \mathbf{0} & & & & & \\ & & & b_n & \cdots & \cdots & b_n \end{pmatrix},$$

and assume the simple case, that  $P_2(X)$  has only single roots  $\beta_i$ , and that all  $P_1(\beta_i)$  are different.



Then, for all  $1 \leq i \leq n$ ,  $\lambda = P_1(\beta_i)$  is a root of  $q(\lambda)$ , and because  $q(\lambda)$  has at most  $n$  different roots,  $P_1(\beta_i)$  are all roots.

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Defining  $q_n = lc(q(\lambda))$  and  $q_0 = q(0)$ , we have:

$$(-1)^n q_n \prod_{k=1}^n P_1(\beta_k) = q_0.$$

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$$q(\lambda) = (-1)^{mn} b_n^m \cdot (-\lambda)^n + \dots$$



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$$q(\lambda) = (-1)^{mn} b_n^m \cdot (-\lambda)^n + \dots$$

Therefore,

$$(-1)^{mn} b_n^m \prod_{k=1}^n P_1(\beta_k) = q_0.$$

Instead of

$$(lc(P_{i+1}(X)))^{n_i - n_{i+1} + 1} P_i(X) = P_{i+1}(X) Q_i(X) + P_{i+2}(X),$$

calculate

$$(lc(P_{i+1}(X)))^{n_i - n_{i+1} + 1} P_i(X) = P_{i+1}(X) Q_i(X) + \beta_i P_{i+2}(X).$$

Where

$$\beta_1 = (-1)^{n_1 - n_2 + 1}, \quad \beta_i = (-1)^{n_i - n_{i+1} + 1} lc(P_i(X)) \cdot H_i^{n_i - n_{i+1}},$$

and

$$H_2 = (lc(P_2(X)))^{n_1 - n_2}, \quad H_i = (lc(P_i(X)))^{n_{i-1} - n_i} H_{i-1}^{1 + n_i - n_{i-1}}.$$

Now we will consider the real roots of polynomials in  $\mathbb{Z}[X]$ . We are interested in methods to **count** them, in order to **isolate** and finally **approximate** them.

### Theorem 33

Let  $p(x)$  be a polynomial in  $\mathbb{R}[x]$ . Then, for a real root  $y$  of multiplicity  $m$ , we have, that the sequence

$$[p(y - \epsilon), p'(y - \epsilon), \dots, p^{(m)}(y - \epsilon)]$$

has alternating sign, while the elements of

$$[p(y + \epsilon), p'(y + \epsilon), \dots, p^{(m)}(y + \epsilon)]$$

have the same sign, for  $\epsilon$  sufficiently small.



## Definition 34

For a polynomial  $p(x)$ , with  $n = \deg(p(x)) > 0$ , the **Fourier sequence** is defined as  $fseq(x) := [p(x), p^{(1)}(x), \dots, p^{(n)}(x)]$ .

## Definition 34

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## Definition 35

For a sequence of real numbers  $S = [a_0, \dots, a_n]$ , we say that there is a **sign variation** between  $a_i$  and  $a_j$ , if  $a_i$  and  $a_j$  have opposite sign, and all members between (if there are any) are zero.

The number of sign variations is denoted by  $Var(S)$ .

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The number of sign variations is denoted by  $Var(S)$ .

## Theorem 36

*(Fourier) For real numbers  $a < b$ , we have: The number  $N$  of roots in  $(a, b]$ , counting multiplicities is bounded by:*

$$N = V(fseq(a)) - V(fseq(b)) - 2 \cdot \lambda, \lambda \geq 0.$$



With his theorem, Fourier could only give an upper bound. Sturm gave a method for exact counting:





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### Definition 37

For  $p(x) \in \mathbb{R}[x]$  a **generalized Sturm sequence** is a sequence of polynomials  $gsseq(x) := [p(x), p_1(x), \dots, p_{k+1}(x)]$ , so that:

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- ▶ In a sufficiently small neighbourhood of every zero  $y$  of  $p(x)$ ,  $p(x)$  and  $p_1(x)$  have opposite signs for  $x < y$ , and same signs for  $x \geq y$ .

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- ▶ Consecutive members do not vanish simultaneously.
- ▶ The two neighbours of a vanishing member have opposite sign.
- ▶  $p_{k+1}(x)$  has no real roots, and thus always the same sign.



For  $p(x)$  without multiple roots in  $\mathbb{R}$ , one possible  $gsseq$  is

$$sseq(x) = [p(x), p'(x), r_1(x), \dots, r_k(x)],$$

with

$$r_{j-2}(x) := r_{j-1}(x)q_k(x) - r_j(x).$$



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with

$$r_{j-2}(x) := r_{j-1}(x)q_k(x) - r_j(x).$$

### Theorem 38

(Sturm) For real numbers  $a < b$ , we have:

$$|\{a < x \leq b : p(x) = 0\}| = V(gsseq(a)) - V(gsseq(b)).$$



Now we also have a method for counting the complex roots of  $p(x)$ :

### Theorem 39

*Let  $p(x)$  be a polynomial of degree  $n$ , and let  $gsseq(x)$  be a complete sequence (i.e. it contains  $n + 1$  members). Then  $p(x)$  has as many pairs of complex roots as there are sign variations in the sequence of leading coefficients in  $gsseq(x)$ .*



## Theorem 40

(Cauchy) If  $p(x) = \sum_{j=0}^n c_j x^j$  with  $c_n > 0$  has got  $\lambda \geq 0$  negative coefficients,

$$b := \max_{\{1 \leq k < n : c_{n-k} < 0\}} \left\{ \left| \frac{\lambda c_{n-k}}{c_n} \right|^{\frac{1}{k}} \right\}$$

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- ▶ do the same for the negative roots.



Without a derivation: The Sturm bisection method is performed in  $O(n^7 L^3[\|p(x)\|_\infty])$ , where  $L[m] := \lfloor \log_2(|m|) \rfloor + 1$ .



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### Example 41

For  $p(x) = x^3 + 2x^2 - x - 2$  we have:

$$\text{sseq}(x) = [x^3 + 2x^2 - x - 2, 3x^2 + 4x - 1, 7x + 8, 1].$$



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The algorithm directly finds the root  $-2$ , and returns  $(-2, 0)$  and  $(0, 2)$  as isolation intervals for the roots  $-1$  and  $1$ .





## Theorem 42

*(Budan, equivalent to Fourier) Let  $a < b$  be real and consider  $p(x) \in \mathbb{R}[x]$ . The number of roots that  $p(x)$  has in  $(a, b]$  is never greater than the loss of sign variations in the coefficient sequence of  $p(x + b)$  compared to  $p(x + a)$ .*



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## Definition 43

For a nonsingular matrix  $\mathbf{M} = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ , define the **Möbius substitution** by  $y := \mathbf{M}(x) = \frac{a \cdot x + b}{c \cdot x + d}$ .



## Theorem 44

*For a polynomial  $p(x)$  with rational coefficients and without multiple roots, and for  $a_1 \geq 0$ ,  $a_i > 0$ ,  $i > 1$  there is always  $m \in \mathbb{N}$  and the corresponding transformation*

$$x := a_1 + \frac{1}{a_2 + \frac{1}{\ddots + \frac{1}{a_m + \frac{1}{y}}}}$$

*so that the transformed polynomial  $p_{ti}(y)$  has at most one sign variation in its coefficient sequence.*



The continued fraction transformation can be written as Möbius substitution:

$$x = \begin{bmatrix} a_1 & 1 \\ 1 & 0 \end{bmatrix} \cdots \begin{bmatrix} a_m & 1 \\ 1 & 0 \end{bmatrix} (y).$$

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### Theorem 45

*(Cardano-Descartes) A polynomial with no or exactly one sign variation in its coefficient sequence has no or exactly one positive root, respectively.*



The **continued fraction isolation algorithm** returns isolation intervals for polynomials  $p(x)$  in  $\mathbb{Z}[x]$  without multiple roots. It will:



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- ▶ Calculate lower bounds for the positive zeroes of  $p(x)$  and transformed polynomials.
- ▶ Use Möbius substitutions to transform every positive root of  $p(x)$  to the only positive root of some  $p_{ti}(y)$ , and calculate isolation intervals from the transformation formula.





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Complexity:  $O(n^5 L^3 [|\rho(x)|_\infty])$ .



## Root approximation by bisection

Now we are left with a single root of  $p(x)$  inside an open isolation interval  $(a, b)$ . To approximate it with a precision of  $\epsilon$ , we can use the bisection algorithm.

```

while  $b - a > \epsilon$  do
  if  $p(\frac{a+b}{2}) = 0$ 
    return  $\frac{a+b}{2}$ 
  else
    if  $\text{sgn}(p(\frac{a+b}{2})) = \text{sgn}(p(a))$ 
       $a := \frac{a+b}{2}$ 
    else
       $b := \frac{a+b}{2}$ 
    endif
  endif
od return  $(a, b)$ 

```



If the root was isolated by the continued fraction method, we already know a transformation  $x = \mathbf{M}(y)$  and a polynomial  $p_M(y)$  which has only one positive root. Then our algorithm looks like this:



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3. Test, whether  $p_M(0) = 0$ . Then return  $\frac{M_{12}}{M_{22}}$  as exact value for the root.



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4. Test, whether  $|\frac{M_{11}}{M_{21}} - \frac{M_{12}}{M_{22}}| \leq \epsilon$ . If so, return  $(\frac{M_{11}}{M_{21}}, \frac{M_{12}}{M_{22}})$ .





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5. Set  $p_M(y) := p_M(\frac{1}{y})$  and  $\mathbf{M}(y) := \mathbf{M}(\frac{1}{y})$ , and return to 1.