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In the following we show how to obtain a solution where the number of bins is only

$$\text{OPT}(I) + \mathcal{O}(\log^2(\text{SIZE}(I))) .$$

Note that this is usually better than a guarantee of

$$(1 + \epsilon)\text{OPT}(I) + 1 .$$

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Configuration LP

Change of Notation:

- ▶ Group pieces of identical size.
- ▶ Let s_1 denote the largest size, and let b_1 denote the number of pieces of size s_1 .
- ▶ s_2 is second largest size and b_2 number of pieces of size s_2 ;
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A possible packing of a bin can be described by an m -tuple (t_1, \dots, t_m) , where t_i describes the number of pieces of size s_i .

Clearly,

$$\sum_i t_i \cdot s_i \leq 1 .$$

We call a vector that fulfills the above constraint a **configuration**.

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Let N be the number of configurations (exponential).

Let T_1, \dots, T_N be the sequence of all possible configurations (a configuration T_j has T_{ji} pieces of size s_i).

$$\begin{array}{ll} \min & \sum_{j=1}^N x_j \\ \text{s.t.} & \forall i \in \{1 \dots m\} \quad \sum_{j=1}^N T_{ji} x_j \geq b_i \\ & \forall j \in \{1, \dots, N\} \quad x_j \geq 0 \\ & \forall j \in \{1, \dots, N\} \quad x_j \text{ integral} \end{array}$$

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How to solve this LP?

later...

We can assume that each item has size at least $1/\text{SIZE}(I)$.

Harmonic Grouping

- ▶ Sort items according to size (monotonically decreasing).
- ▶ Process items in this order; close the current group if size of items in the group is at least 2 (or larger). Then open new group.
- ▶ I.e., G_1 is the smallest cardinality set of largest items s.t. total size sums up to at least 2. Similarly, for G_2, \dots, G_{r-1} .
- ▶ Only the size of items in the last group G_r may sum up to less than 2.

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Harmonic Grouping

From the grouping we obtain instance I' as follows:

- ▶ Round all items in a group to the size of the largest group member.
- ▶ Delete all items from group G_1 and G_r .
- ▶ For groups G_2, \dots, G_{r-1} delete $n_i - n_{i-1}$ items.
- ▶ Observe that $n_i \geq n_{i-1}$.

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Lemma 10

The number of different sizes in I' is at most $\text{SIZE}(I)/2$.

Let I' be the set of items that are not packed in the first round of the algorithm. Let S be the set of sizes of items in I' . Let n be the number of items in I' . Let k be the number of different sizes in S . Let s_1, s_2, \dots, s_k be the sizes in S . Let n_1, n_2, \dots, n_k be the number of items of size s_i in I' . Let $n = n_1 + n_2 + \dots + n_k$. Let $n_i \leq n/2$ for all i . Let $n_i \geq 1$ for all i . Let $k \leq n/2$.

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The number of different sizes in I' is at most $\text{SIZE}(I)/2$.

- ▶ Each group that survives (recall that G_1 and G_r are deleted) has total size at least 2.
- ▶ Hence, the number of surviving groups is at most $\text{SIZE}(I)/2$.
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- ▶ The total size of items in G_1 and G_r is at most 6 as a group has total size at most 3.
- ▶ Consider a group G_i that has strictly more items than G_{i-1} .
- ▶ It discards $n_i - n_{i-1}$ pieces of total size at most

$$3 \frac{n_i - n_{i-1}}{n_i} \leq \sum_{j=n_{i-1}+1}^{n_i} \frac{3}{j}$$

since the smallest piece has size at most $3/n_i$.

- ▶ Summing over all i that have $n_i > n_{i-1}$ gives a bound of at most

$$\sum_{j=1}^{n_r-1} \frac{3}{j} \leq \mathcal{O}(\log(\text{SIZE}(I))) .$$

(note that $n_r \leq \text{SIZE}(I)$ since we assume that the size of each item is at least $1/\text{SIZE}(I)$).

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Algorithm 1 BinPack

- 1: **if** $\text{SIZE}(I) < 10$ **then**
- 2: pack remaining items greedily
- 3: Apply harmonic grouping to create instance I' ; pack discarded items in at most $\mathcal{O}(\log(\text{SIZE}(I)))$ bins.
- 4: Let x be optimal solution to configuration LP
- 5: Pack $\lfloor x_j \rfloor$ bins in configuration T_j for all j ; call the packed instance I_1 .
- 6: Let I_2 be remaining pieces from I'
- 7: Pack I_2 via $\text{BinPack}(I_2)$

$$\text{OPT}_{\text{LP}}(I_1) + \text{OPT}_{\text{LP}}(I_2) \leq \text{OPT}_{\text{LP}}(I') \leq \text{OPT}_{\text{LP}}(I)$$

Proof:

Each LP solution for I' can be mapped to a feasible LP solution for I .

So lower bound holds: $\text{OPT}_{\text{LP}}(I') \leq \text{OPT}_{\text{LP}}(I)$.

$\text{OPT}_{\text{LP}}(I)$ is the LP solution for I_1 and I_2 combined.

So $\text{OPT}_{\text{LP}}(I) \leq \text{OPT}_{\text{LP}}(I_1) + \text{OPT}_{\text{LP}}(I_2)$.

$$\text{OPT}_{\text{LP}}(I_1) + \text{OPT}_{\text{LP}}(I_2) \leq \text{OPT}_{\text{LP}}(I') \leq \text{OPT}_{\text{LP}}(I)$$

Proof:

- ▶ Each piece surviving in I' can be mapped to a piece in I of no lesser size. Hence, $\text{OPT}_{\text{LP}}(I') \leq \text{OPT}_{\text{LP}}(I)$
- ▶ $\lfloor x_j \rfloor$ is feasible solution for I_1 (even integral).
- ▶ $x_j - \lfloor x_j \rfloor$ is feasible solution for I_2 .

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Analysis

Each level of the recursion partitions pieces into three types

1. Pieces discarded at this level.
2. Pieces scheduled because they are in I_1 .
3. Pieces in I_2 are handed down to the next level.

Pieces of type 2 summed over all recursion levels are packed into at most OPT_{LP} many bins.

Pieces of type 1 are packed into at most

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- ▶ The total size of items in I_2 can be at most $\sum_{j=1}^N x_j - \lfloor x_j \rfloor$ which is at most the number of non-zero entries in the solution to the configuration LP.

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How to solve the LP?

Let T_1, \dots, T_N be the sequence of all possible configurations (a configuration T_j has T_{ji} pieces of size s_i).

In total we have b_i pieces of size s_i .

Primal

$$\begin{array}{ll} \min & \sum_{j=1}^N x_j \\ \text{s.t.} & \forall i \in \{1 \dots m\} \quad \sum_{j=1}^N T_{ji} x_j \geq b_i \\ & \forall j \in \{1, \dots, N\} \quad x_j \geq 0 \end{array}$$

Dual

$$\begin{array}{ll} \max & \sum_{i=1}^m y_i b_i \\ \text{s.t.} & \forall j \in \{1, \dots, N\} \quad \sum_{i=1}^m T_{ji} y_i \leq 1 \\ & \forall i \in \{1, \dots, m\} \quad y_i \geq 0 \end{array}$$

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Separation Oracle

Suppose that I am given variable assignment y for the dual.

How do I find a violated constraint?

I have to find a configuration $T_j = (T_{j1}, \dots, T_{jm})$ that

$$\sum_{i=1}^m T_{ji} a_i \leq 1$$

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But this is the Knapsack problem.

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- ▶ is feasible, i.e.,

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- ▶ and has a large profit

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We have FPTAS for Knapsack. This means if a constraint is violated with $1 + \epsilon' = 1 + \frac{\epsilon}{1-\epsilon}$ we find it, since we can obtain at least $(1 - \epsilon)$ of the optimal profit.

The solution we get is feasible for:

Dual'

$$\begin{array}{ll} \max & \sum_{i=1}^m y_i b_i \\ \text{s.t.} & \forall j \in \{1, \dots, N\} \quad \sum_{i=1}^m T_{ji} y_i \leq 1 + \epsilon' \\ & \forall i \in \{1, \dots, m\} \quad y_i \geq 0 \end{array}$$

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The solution we get is feasible for:

Dual'

$$\begin{array}{ll} \max & \sum_{i=1}^m y_i b_i \\ \text{s.t.} & \forall j \in \{1, \dots, N\} \quad \sum_{i=1}^m T_{ji} y_i \leq 1 + \epsilon' \\ & \forall i \in \{1, \dots, m\} \quad y_i \geq 0 \end{array}$$

Primal'

$$\begin{array}{ll} \min & (1 + \epsilon') \sum_{j=1}^N x_j \\ \text{s.t.} & \forall i \in \{1 \dots m\} \quad \sum_{j=1}^N T_{ji} x_j \geq b_i \\ & \forall j \in \{1, \dots, N\} \quad x_j \geq 0 \end{array}$$

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If the value of the computed dual solution (which may be infeasible) is z then

$$\text{OPT} \leq z \leq (1 + \epsilon')\text{OPT}$$

How do we get good primal solution (not just the value)?

The constraints used when computing z certify that the solution is feasible for DUAL.

Simple: that we drop all unused constraints in DUAL, we will compute the same solution feasible for DUAL.

Simple: that we drop all unused primal constraints.

The dual is DUAL. If DUAL is feasible we have a solution for primal. The constraints used to compute z are primal constraints.

The optimum value for DUAL is at most $(1 + \epsilon')\text{OPT}$.

The optimum value for primal is at least OPT .

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How do we get good primal solution (not just the value)?

- ▶ The constraints used when computing z **certify** that the solution is feasible for DUAL'.
- ▶ Suppose that we drop all unused constraints in DUAL. We will compute the same solution feasible for DUAL'.
- ▶ Let DUAL'' be DUAL without unused constraints.
- ▶ The dual to DUAL'' is PRIMAL where we ignore variables for which the corresponding dual constraint has not been used.
- ▶ The optimum value for PRIMAL'' is at most $(1 + \epsilon')\text{OPT}$.
- ▶ We can compute the corresponding solution in polytime.

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This gives that overall we need at most

$$(1 + \epsilon') \text{OPT}_{\text{LP}}(I) + \mathcal{O}(\log^2(\text{SIZE}(I)))$$

bins.

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